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1032 U.S. PTO

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May 3, 2001

VIA EXPRESS MAIL - R/R/R

Director of Patents

U.S. Patent and Trademark Office

Washington, D.C. 20231

jc997 U.S. PTO
09/848191
05/03/01

Re: Patent Application - Charles Hillel Rosendorf, Inventor

Dear Sir:

Transmitted herewith for filing is the patent application of CHARLES HILLEL ROSENDORF for SECURITIES ANALYSIS METHOD AND SYSTEM. Enclosed are:

- ☒ 7 sheets of drawings;
- ☐ an assignment of the invention to _____;
- ☐ a certified copy of a _____ application;
- ☒ associate power of attorney;
- ☒ request and certification for nonpublication.
- ☒ Applicant claims small entity status (37 CFR 1.27).

CLAIMS AS FILED

FOR	NUMBER FILED		NUMBER EXTRA	RATE		FEE
TOTAL CLAIMS	39 - 20	=	19	x \$ 9.00	=	171.00
INDEPENDENT CLAIMS	4 - 3	=	1	x \$40.00	=	40.00
BASIC FEE				=	355.00
ASSIGNMENT FILING FEE				=	---

TOTAL FILING FEE						\$ 566.00

- ☐ Please charge my Deposit Account No. _____ in the amount of \$_____. A duplicate copy of this sheet is enclosed.
- ☐ The Commissioner is hereby authorized to charge any additional fees which may be required at any time during the prosecution of this application without specific authorization, or credit any overpayment to Deposit Account No. _____. A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$566.00 to cover the above fees is enclosed.

Very truly yours,

Mitchell P. Novick

MITCHELL P. NOVICK

MPN/s

Enclosures

1597-1070 (1070tran.50301)

09848191-050301

Applicant/Patentee: CHARLES HILLEL ROSENDORF

Attorney's

Docket No. 1597-1070

For: SECURITY ANALYSIS METHOD AND SYSTEM

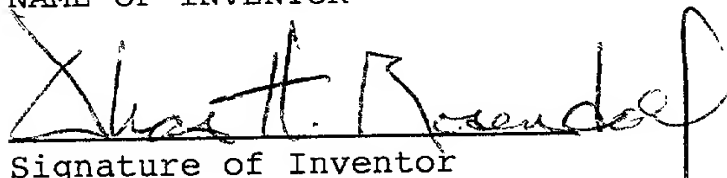
NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen (18) months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

CHARLES HILLEL ROSENDORF

NAME OF INVENTOR



Signature of Inventor

May 02, 2001

Date

This request must be signed in compliance with 37 C.F.R. 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen (18) months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen (18) months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**